

Assessment and zoning of economic damage risk due to the inundation in Thu Duc City on the period 2021-2022

Nguyen Ngoc Diep¹, Nguyen Tran An², Bui Viet Hung^{2,*}



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ABSTRACT

Thu Duc City directly under Ho Chi Minh City was established in 2020 on the basis of merging 3 districts (Thu Duc District, District 2 and District 9). Since its establishment, Thu Duc City has faced widespread urban flooding caused by both rain and tides. The impacts of urban flooding cause many adverse influences on people living and trading in the Thu Duc City. Inheriting the study on economic damage due to inundation in Ho Chi Minh City in the period 2016-2019, the project inherits the survey forms to conduct surveys and the flood's and damage's formulas to assess economic losses of people living there. The surveys of the inundation and the economic damages caused by floods are implemented in all wards of Thu Duc City in the period 2021-2022. The results of the study show that the distribution of inundation, economic damage level as well as the flood-damage risk level due to the inundation are concentrated in densely populated areas and riverside areas. It also shows that the level of economic damage in the period 2021-2022 is much reduced compared to the period 2016-2019 by about 25-30% and at the low level. The main reasonable of explanation about the reduction of risk level in the period 2021-2022 is the decrease of City's economic due to the serious disease Covide 19. The citizen's incomes reduced. Their works are delayed and the movement limited. So that, the indirect economic damages also significantly being lower than the previous. Through establishing a flood and damage survey form, the study hopes to update more information and contribute a "way" to assess damage caused by floods directly to the urban management agencies of Thu Duc City.

Key words: Urban inundation, economic damage risk, tangible damage, intangible damage, Thu Duc City

¹University of Labor and Social Affair (Branch 2), Vietnam

²University of Science, VNU-HCM, Vietnam

Correspondence

Bui Viet Hung, University of Science, VNU-HCM, Vietnam

Email: bvhung@hcmus.edu.vn

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1 INTRODUCTION

Urban flooding is a phenomenon where water overflows into urban areas, causing damage to property and human life. The main cause of urban flooding is due to a change in hydrological processes in urban areas, which in turn leads to an increase in the amount of water infiltrating roads, houses and infrastructure¹.

In Vietnam, the Ministry of Construction defined in Official Letter No. 338/BXD-KTQH dated March 10, 2003 on the development of the drainage framework program for urban areas: "Local inundation points being within the allowable limits is the maximum inundation depth of 30 cm, the inundation time (withdrawal time) does not exceed 30 minutes...". According to a study on flooding in Ho Chi Minh City by Le Sam (2011), urban flooding is an inundation situation, in which the inundation inner City's points are determined when satisfying some parameters such as the volume of water must be larger than 1000 m³ (Corresponding to the flooded area with a length of 500 m, a width of 20 m and a depth of 0.1 m) and the inundation time being 30 minutes after rain. Flooding point

after rain, water is flooded enough to obstruct traffic. Flooding points are classified into levels: heavy flooding, moderate flooding, light flooding and no flooding².

However, not all urban flooding can cause the damage to the people living and working there. According to a study in Vladivostok City, Russia³, urban flooding causes a damage when satisfying the following levels: (1) *The first level* is the level of socio-economic development, i.e. total income. This means that, when a region is flooded, the flooding situation affects the income of people (decrease, lot); (2) *The second level* is the specific parameters of the flooding situation including a water depth, duration of inundation, extent of local damage and many other factors. The accurately determination of these parameters will make the damage calculation more accurate; and (3) *The third level* is concerned with the established disaster prevention and management solutions. Developing these solutions will help reduce damage and enhance recovery after a disaster.

Forwards the second level (2), a study on the flooding damage assessment in HCMC period 2016 – 2019⁴

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47 and another analysis of household damage caused by
 48 flooding in Ho Chi Minh City⁵ have conducted the
 49 surveys on the extent of flooding and correspond-
 50 ing economic damage. The results of these studies
 51 showed that the regionality in determining the extent
 52 of damage recorded due to urban flooding for HCMC
 53 are different with levels ranging from 15cm to 25cm
 54 with the same time over 30 minutes after rain and tide
 55 withdrew.

56 The flooding damage is usually divided into two main
 57 categories (1) Direct/tangible damage and (2) in-
 58 direct/intangible damage⁶. For the direct/tangible
 59 damage, it is usually attributed to the cost of repair-
 60 ing/recovering lost property, vehicles or goods. Indi-
 61 rect/intangible damage is often more complex⁶ as it
 62 often involves remedial damage, lost “opportunities”
 63 to increase income or sell more products, and health
 64 care...⁵.

65 To serve the disaster prevention and environmental
 66 management, many studies propose to use the deter-
 67 mination of the level of economic damage risk as a
 68 useful information for decision making of the rele-
 69 vant management levels^{5,7-11}. The assessment of the
 70 economic damage risk caused by urban flooding is
 71 quite diverse [5]. However, most domestic and for-
 72 eign studies focus on the following general formula:

$$R = f(H, E, V) \tag{1}$$

73 Where: H is the probability of occurrence of natu-
 74 ral phenomena in the future. It usually calculated
 75 through the frequency of occurrence (%). E is an ex-
 76 posure to hazard and used to refer to the presence
 77 (by location) of people, livelihood activities, environ-
 78 mental services and natural resources, infrastructure,
 79 economic, social, cultural properties, etc., where they
 80 may be adversely affected by hazards leading to poten-
 81 tial future harm, loss or damage^{12,13}. V is a vulnera-
 82 bility, referring to the tendency of factors that are sus-
 83 ceptible to the impact of hazards such as people (e.g.
 84 population structure, proportion of vulnerable popu-
 85 lation groups), society (Economic development).

86 Following the studies on flood damage assessment in
 87 Ho Chi Minh City in the period 2016 - 2019 and the
 88 formation of a new administrative unit of the City,
 89 Thu Duc City, in early 2020, the study assessment the
 90 economic damage level caused by an inundation in
 91 Thu Duc City on period 2021 - 2022 is carried out in
 92 order to initially provide relevant management agen-
 93 cies of the City with initial information about the ur-
 94 ban flooding situation and the level of economic dam-
 95 age causing to people living in the City.

THE METHODOLOGIES

The study area is Thu Duc City (TDC) of Ho Chi Minh
 City (HCMC) as shown in Figure 1 above.

It surveys the extent of flooding and economic dam-
 age caused by inundation through the interviews with
 households. Based on the summary report of flood
 points in Ho Chi Minh City on period 2021-2022
 of the Management Centre of Technical infrastruc-
 ture, HCMC Department of Construction, the re-
 search team determined the frequent flooding points
 and severity of flooding (1 inundation point is a sur-
 vey site). The number of survey points on the extent
 of flooding, the extent of economic damage was deter-
 mined to be 12 survey locations. For each survey
 site, about 5 to 10 affected households will be inter-
 viewed, the total number of survey questionnaires of
 household is 242 votes (121 survey questionnaires for
 flooding situation and 121 survey questionnaires for
 economic damage) and the monitoring location flood
 signal is at 50 locations. Distribution of survey loca-
 tions and locations of households interviewed see Fig-
 ure 2 below.

The assessment of urban flooding

The assessment of urban flooding is determined by the
 frequency of mild, moderate and hard flooding occur-
 rence⁵ as follows:

$$P (\%) = N_{flood\ occurrence} / N_{max} (\%) \tag{2}$$

There are:

- P (%) is the frequency of occurrence of flooding
 number causes the economic damage;
- $N_{flood\ occurrence}$ is the number of occurrences of
 flooding causes the economic damage to the house-
 holds.
- N_{max} is the maximum number of occurrences of
 flooding causing the economic damage in the area
 (According to the Hung (2021), $N_{max} = 24$).

The flooding level classification is by the frequency of
 occurrence⁵ as shown in the Table 1.

The assessment of economic damage

The assessment of economic damage due to urban
 flooding is determined by the ratio between the total
 cost of overcoming consequences caused by inunda-
 tion with the total annual income of households⁵ as
 follows:

$$C = \text{Total Economic Loss (TTH)} / \text{Total Income (TTN)} (\%) \tag{3}$$

There are:

- TTN is the total annual income (VND).
- TTH is the total damage caused by urban flooding
 (VND).

The damage classification⁵ is as the Table 2.

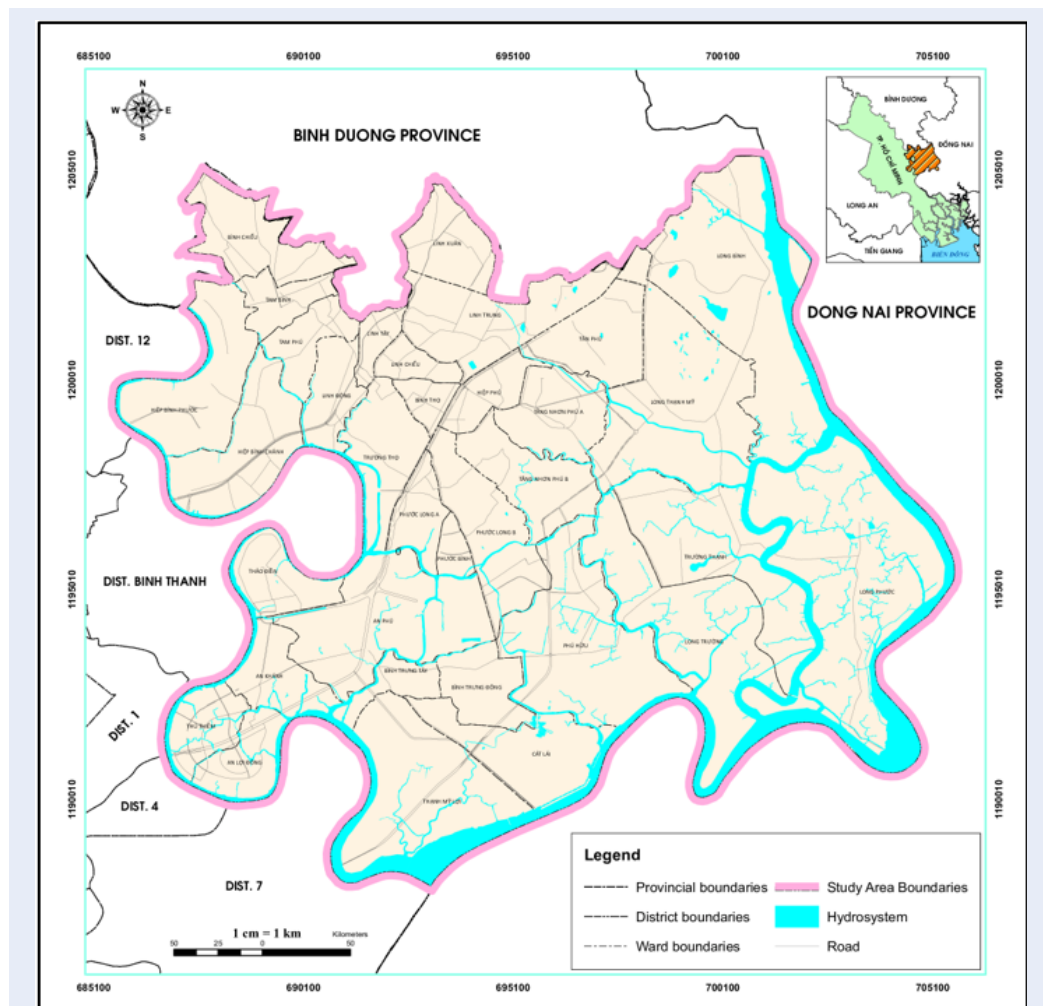


Figure 1: Thu Duc City, Ho Chi Minh City

Table 1: Frequency classification of damaging urban flooding

TT	Flooding level	Frequency	Meaning
1	Low	40%	Corresponding to the maximum number of occurrences (10 times of mild floods)
2	Moderate	40% < P 65%	Corresponding to 18 flooding occurrences (10 mild flooding and 8 moderate flooding).
3	High	65% < P 90%	Corresponding to 21 flooding occurrences (10 mild flooding, 8 moderate flooding and 3 hard flooding).
4	Very high	90% < P 100%	Corresponding to 24 occurrences of flooding (10 mild flooding, 8 moderate flooding and 6 severe flooding).

(Source: Hung, 2021)

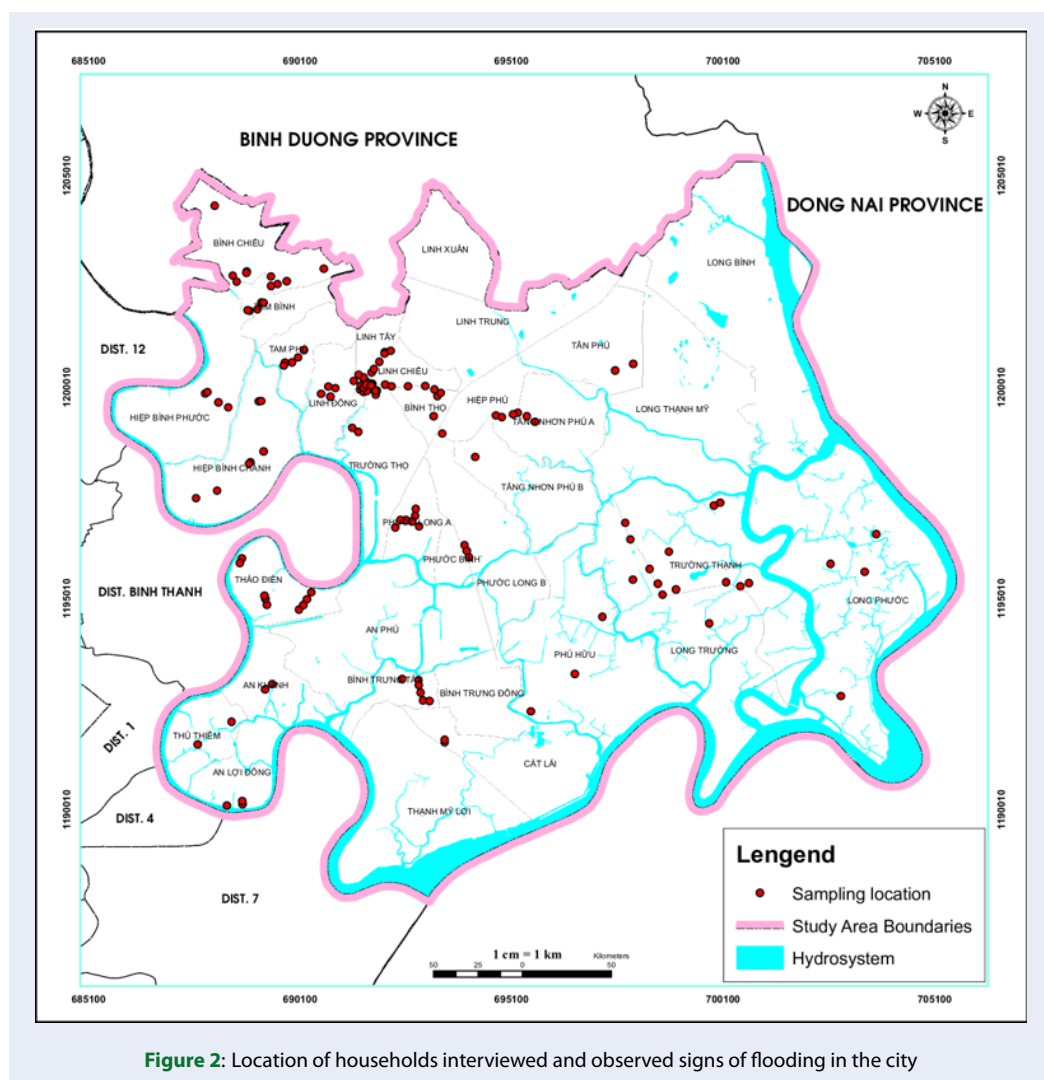


Table 2: Table of extent of damage caused by flooding.

TT	Damage level	Rate	Meaning
1	Small	C 15%	The mild economic damage.
2	Moderate	15% < C 30%	The moderate economic damage
3	Hard	30% < C 50%	The hard economic damage
4	Very hard	50% < C 70%	The very hard economic damage
5	Serious	70% < C 100%	The serious economic damage (like completely)

(Source: Hung, 2021)

147 **The assessment of economic damage risk**

148 The assessment of economic damage risk due to ur-
 149 ban flooding. The formula for assessing an economic
 150 damage risk caused by the urban flooding is described
 151 by the formula below⁵.

152 $R = (w_P).P + (w_C).C$ (4)

153 There are:

154 - R is the risk value of economic damage caused by
 155 urban flooding.

156 - w_P and w_C are the weights of the two components of
 157 flooding level and damage's rate caused by flooding,
 158 respectively 0.473 and 0.527 applied to TDC.⁵

159 The classification of economic damage risk levels
 160 threshold is described in Table 3.

161 The threshold value of risk level is determined accord-
 162 ing to the Table 4⁵.

163 **Set up flood and damage zoning maps**

164 Flood and damage zoning maps were created using
 165 GIS tools. Based on the results of synthesis and anal-
 166 ysis of the level of flooding by depth, the level of eco-
 167 nomic damage and the level of economic damage risk
 168 at the survey locations, corresponding types of zoning
 169 maps are established.

170 The interpolation method used is Inverse Distance
 171 Weighting (IDW), which is the simplest interpolation
 172 method and the most commonly used method in GIS
 173 analysis functions. To determine the suitability of the
 174 IDW interpolation method with the flood and dam-
 175 age survey results, the project used 80% of the 121
 176 survey locations (flooded and damaged) to interpo-
 177 late for the remaining 20% of locations. again. Cal-
 178 culate the correlation level of direct and interpolated
 179 survey values of the above 20% locations. By calculat-
 180 ing the correlation coefficient R2 of two sets of values
 181 (survey and interpolation) at 20% of survey locations,
 182 flooding reached 71% and damage reached 75%. The
 183 interpolation results are acceptable with a good level
 184 of correlation. Thus, the project can use the IDW in-
 185 terpolation method in GIS to map flood and damage
 186 zones appropriately.

187 **THE RESULTS AND DISCUSSIONS**

188 In recent years, the inundation has become a serious
 189 problem in the Thu Duc City. With the continuous
 190 development of urban areas, the drainage system has
 191 not been synchronized with the local urban develop-
 192 ment rate. According to a report from the project to
 193 reduce flooding in the area, Thu Duc City, when it
 194 rains heavily, has many main roads and residential
 195 areas often flooded, especially roads such as the old
 196 National Highway 13, quarters 1 and 3 of Hiep Binh

Phuoc ward, road No. 10 of Linh Dong ward... affect-
 ing people's lives.

According to the research direction of the project to
 assess the economic damage caused by flooding in
 Ho Chi Minh City on period of 2016-2019⁴, the tot-
 al number of surveyed households in the Thu Duc
 City is 242 households, in which, there are 154 fami-
 lies (accounting for 63.63%), 88 trading households
 (accounting for 36.37%). During the survey, it found
 that trading households being also families with more
 goods. In general, the average income of households
 living in the old districts (Thu Duc, 2, 9) is quite
 high (34 million VND/month) on period 2016-2019.
 However, during the epidemic period of 2021 - 2022,
 the all surveyed households had a large decrease in
 income (about 18 million VND/month) according to
 the survey in the flood-affected area in the City.

Summary of flood survey results

Summary of flood survey results of 121 households on
 period of 2021 - 2022 in Thu Duc City showed that
 households suffered a total of 1149 floods, 121/121
 households were affected by flooding before 2021 (See
 Table 5). Thus, it can be seen that the level of flood-
 ing has a prolonged flood situation and has a certain
 impact on the damage of households in Thu Duc City.
 Based on the formula number (2), calculate the fre-
 quency of light, moderate and severe flooding in Thu
 Duc City. The frequency of occurrence was deter-
 mined by using the number of flooding occurrences
 corresponding to the levels compared to the total
 number (maximum) damaging floods recorded [5].
 The frequency of flooding occurrence causing general
 damage is calculated according to the following for-
 mula:

$P(\%) = w_{Light}P_{Light}(\%) + w_{Moderate} P_{Moderate}(\%) + w_{Severe}P_{Severe}(\%)$ (5)

There are: w_{Light} , $w_{Moderate}$, w_{Severe} are the weights
 respectively for each severe, light and moderate flood-
 ing level. They are determined through research on
 period 2016-2019⁴ and summarized in Table 6.

At each flood survey position, the survey results in-
 clude flooding factors (depth, time and dimensions).
 To determine relatively the flood area surrounding the
 survey positions, the project maps the distribution
 flood by GIS tools. The urban flooding areas with
 depth in TDC in the period of 2021-2022, see the Fig-
 ure 3.

The results show that, Thu Duc City has a frequency
 of flooding causing damage of 13.5%, equivalent to
 a mild frequency. It means the number of occur-
 rences of flooding causing damage being less than 10

Table 3: Table of economic damage risk levels due to urban flooding.

TT	Risk level	Classification	Meaning
1	Low	R R1	The low economic damage
2	Moderate	R1 < R R2	The moderate economic damage
3	High	R2 < R R3	The high economic damage.
4	Very high	R3 < R R4	The very high economic damage.
5	Serious	R4 < R 1,0	The property is loted completely.

(Source: Hung, 2021)

Table 4: Thresholds values of economic damage risk due to urban flooding.

Location	Thresholds values of economic damage risk (%)				
	R1	R2	R3	R4	R5
Thu Duc City	25	43	65	81	100

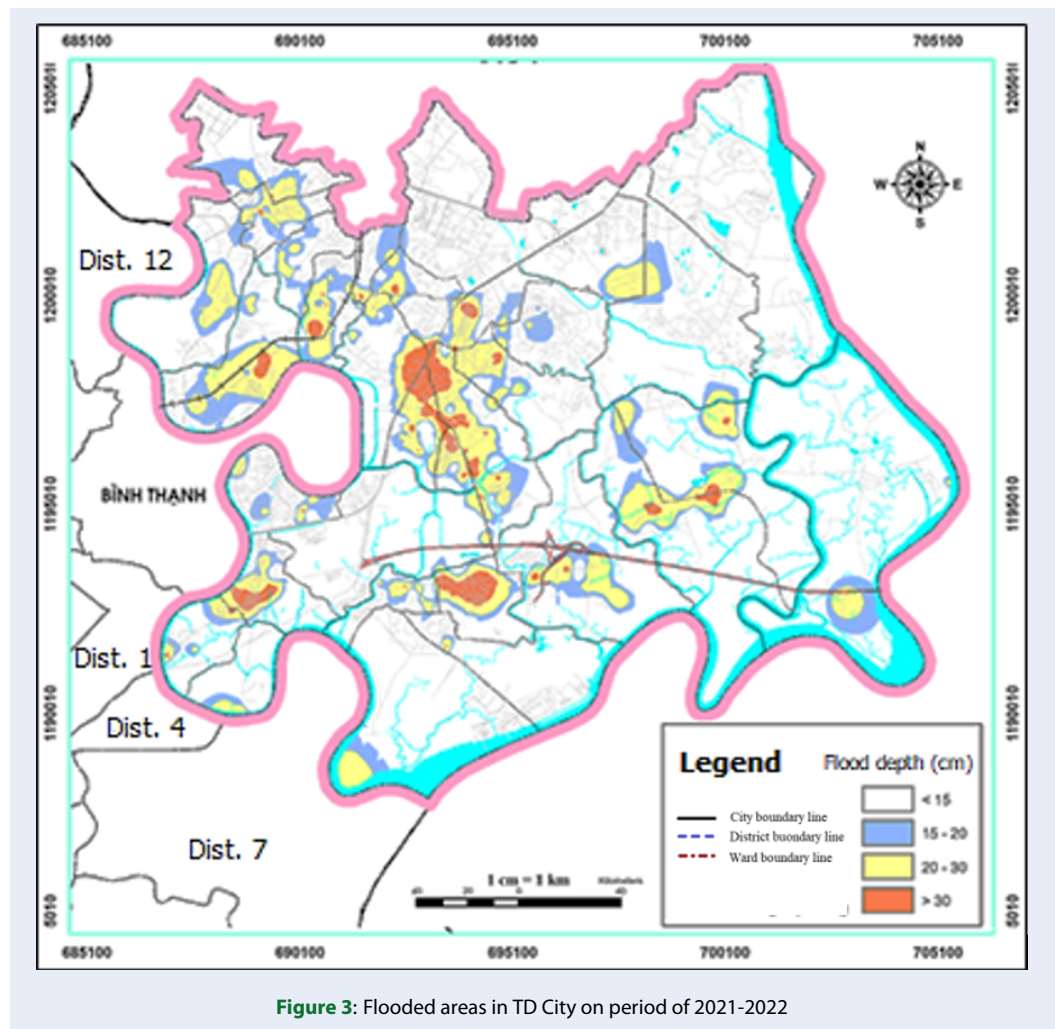
(Source: Hung, 2021)

Table 5: General information on flooding status of surveyed households.

No	Contents	Characteristics	Survey's results
1	The number of flood (number).	Light (10 – 15 cm)	615
		Moderate (15 – 30 cm)	369
		Severe (>30cm)	165
2	The duration (number) .	< 30 Minutes	48
		30 – 120 Minutes	63
		> 120 Minutes	10
3	The flood causes.	Rain	107
		Tide	32
		Rain – Tide	37
4	The moderate depth (cm)		17,96
5	The length of flood area (m)		185,6
6	The width of flood area (m)		9,68

Table 6: Frequency of flooding causing the damage to the Thu Duc City.

Parameters/level	Light	Moderate	Severe
w	0,535	0,321	0,144
P (%)	0,075	0,122	0,388
	0,135		



248 times/year. The areas flooded with severely level have
 249 a large population concentration (the old Thu Duc
 250 District area) and the areas flooded lightly – moder-
 251 ately have a large density of canals (the old Districts 2
 252 and 9).

253 **The assessment of economic damage**

254 The assessment of economic damage due to urban
 255 flooding of 121 households surveyed in TD City.
 256 According to the survey results of direct/tangible
 257 damages and indirect/intangible damages, the aver-
 258 age damage level of each household corresponding to
 259 flood levels showed a significant reduction compared
 260 to the period 2016-2019 from 25% - 30%. The aver-
 261 age indirective/directive economic damage of house-
 262 hold due to the inundation situation in period 2021-
 263 2022 (two years) is summarized on Table 7. The aver-
 264 age damage surveyed results according to the flood
 265 levels are determined as shown Figure 4.

The Table 7 shows that the majority of economic dam- 266
 age caused by flooding to households is the direct 267
 damage (accounting for 85.64% of total damage) and 268
 accounts for 14.19% of the total annual income. The 269
 comparing with the results of the project researching 270
 and surveying flood for Ho Chi Minh City in the peri- 271
 od 2016-2019 (direct damage of districts 2, Thu Duc 272
 and district 9 fluctuated between 75-90% of the tot- 273
 al economic damage due to flood⁴), the results of 274
 the economic damage assessment period 2021-2022 275
 of the project are similar. With an average income 276
 (through a survey of 242 households) about 18 million 277
 VND/month, the average level of economic loss of 278
 households due to flooding in the area of TDC (cor- 279
 responding to the average flood level) about 19.5%/year 280
 equivalent to the moderate damage level. The area 281
 with level of damage caused by flood see the Figure 5. 282
 Compared with the research results of Hung (2021), 283
 the average direct damage through the survey 284

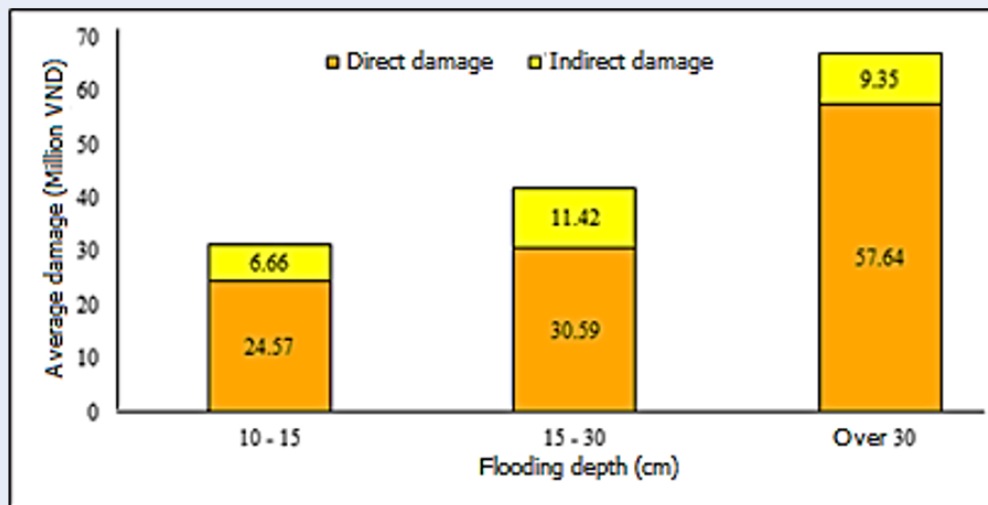


Figure 4: Economic damage (direct and indirect) caused by flooding

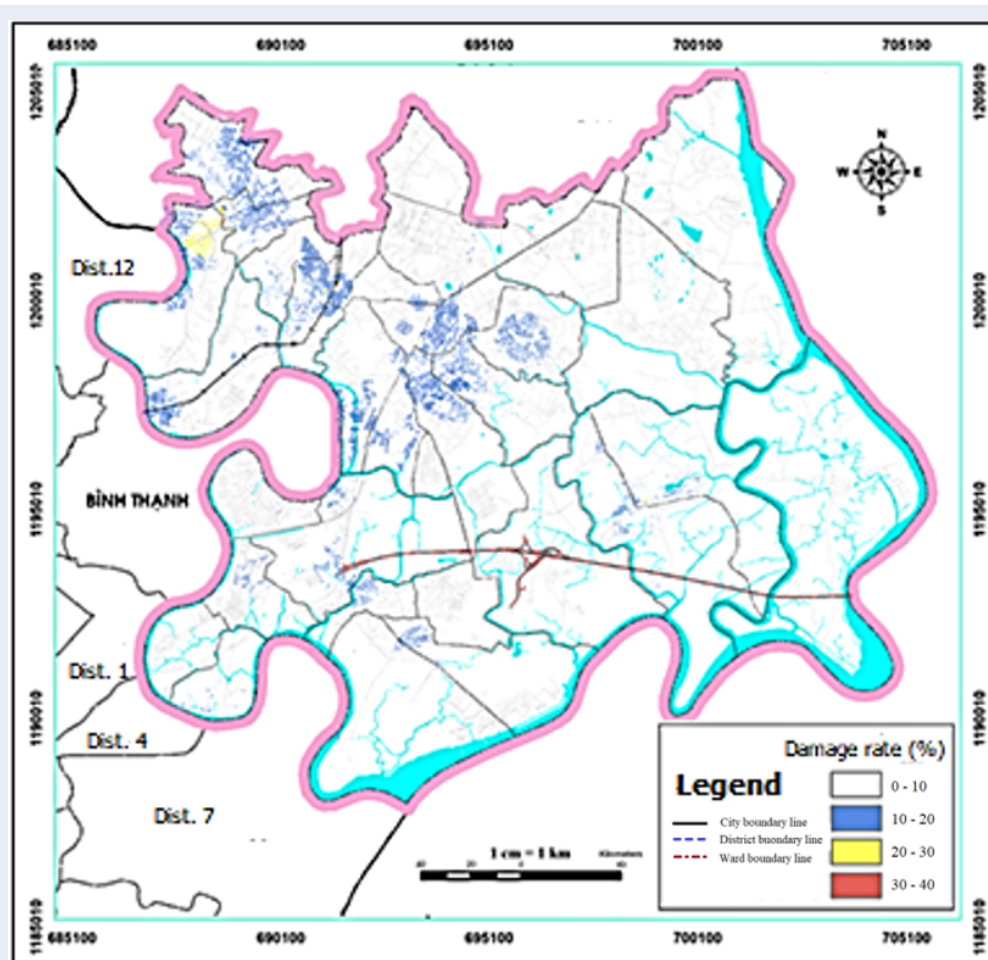


Figure 5: Economic damage caused by flooding on period of 2021-2022

Table 7: The directive/indirective economic damages of household living in TDC in period 2021-2022

Damage	Value (106 VND)	Rate (Damage/income) (%)
Directive damage	57,91 (85,64%)	14,19
Indirective damage	9,71 (14,36%)	2,38
Total	67,62 (100%)	16,57

285 decreased significantly compared to 45 million
 286 VND/year⁴ on period 2016-2019 by about 30%. The
 287 main reason is that people spend a lot of time at home
 288 (the time is limited to move due to the epidemic), so
 289 the proactive level in limiting damage to furniture
 290 and means of transport.

291 **The assessment of the level of economic**
 292 **damage risk**

293 The assessment of the level of economic damage risk
 294 due to flooding in the area of TDC on period of 2021-
 295 2022. On the basis of the analysis about the flood-
 296 ing level, the occurrence frequency of floods causing
 297 the economic damage and the ratio between the eco-
 298 nomic damage and the annual income of households,
 299 the formula (4) is applied to calculate the economic
 300 damage risk due to flooding for administrative areas
 301 (wards) of the City. There are a total of 25/34 wards
 302 recorded in the summary reports on flood control and
 303 reduction work of the Technical Management Centre
 304 of infrastructure, HCMC Department of Construc-
 305 tion in 2021 and 2022 have the damage of households.
 306 The total economic damage risk caused by flooding is
 307 shown in the Figure 6 and Figure 7. According to Ta-
 308 ble 4 – Threshold value of risk, the wards’ risk levels
 309 of the economic damages are all less than $R_1 = 25$ cor-
 310 responding to low risk level. The an Loi Dong, An
 311 Khanh, Tam Binh and Tang Nhon Phu A wards have
 312 higher risk values than other wards. The main reason
 313 is that the geographical location of these wards is flat
 314 and hollow. So that, these wards are easily affected
 315 and flooded by heavy rains, high tides.

316 **CONCLUSION**

317 The study calculated the economic damage risk
 318 caused by flooding through the economic damage
 319 level and the occurrence frequency of flood causing a
 320 damage in TDC. The economic damage level is about
 321 19.5% of annual income of households. The occur-
 322 rence frequency of floods causing damage is less than
 323 10 times per year. The average level of economic dam-
 324 age risk due to urban flood is low at each ward of TDC.
 325 The study also classified the risk thresholds for the
 326 wards according to the number of survey question-
 327 naires. As a result, there are 9 wards without risk, 25

wards have the same level of risk as low, but four wards
 (An Phu Dong, An Khanh, Tam Binh and Tang Nhon
 Phu A) have a higher numbers of risk than others.
 The main reason for the low level of economic dam-
 age risk caused by urban flood in the TDC on peri-
 od 2021-2022, the whole country experienced a ser-
 ious pandemic that had a profound impact on social
 and economic life. This leads to a decrease in aver-
 age income, the cost of repairing all kinds of dam-
 age also decreased significantly compared to the peri-
 od 2016-2019. However, when the economy recovers,
 the increased flooding will affect people a lot, espe-
 cially in the post-epidemic recovery period, so the
 management levels of the City need to have solutions
 to prevent and reduce the flood damage to people,
 support people to quickly recover their income and
 socio-economic activities.

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 350 THE ECONOMIC RISK BELOW SURROUNDED
 351 SUPPLY THINK IN THU DUC CITY IN 2021 –
 352 2022" by student Nguyen Tran An.

353 **AUTHORS’ CONTRIBUTION**

354 Nguyen Ngoc Diep: The economic analysis (dam-
 355 ages) Author 3*: Methodology, Funding acquisition.
 356 Author 2: Investigation, Formal analysis.

357 **COMPETING INTEREST**

358 We have no known competing financial interests or
 359 personal relationships that could have appeared to in-
 360 fluence the work reported in this paper.

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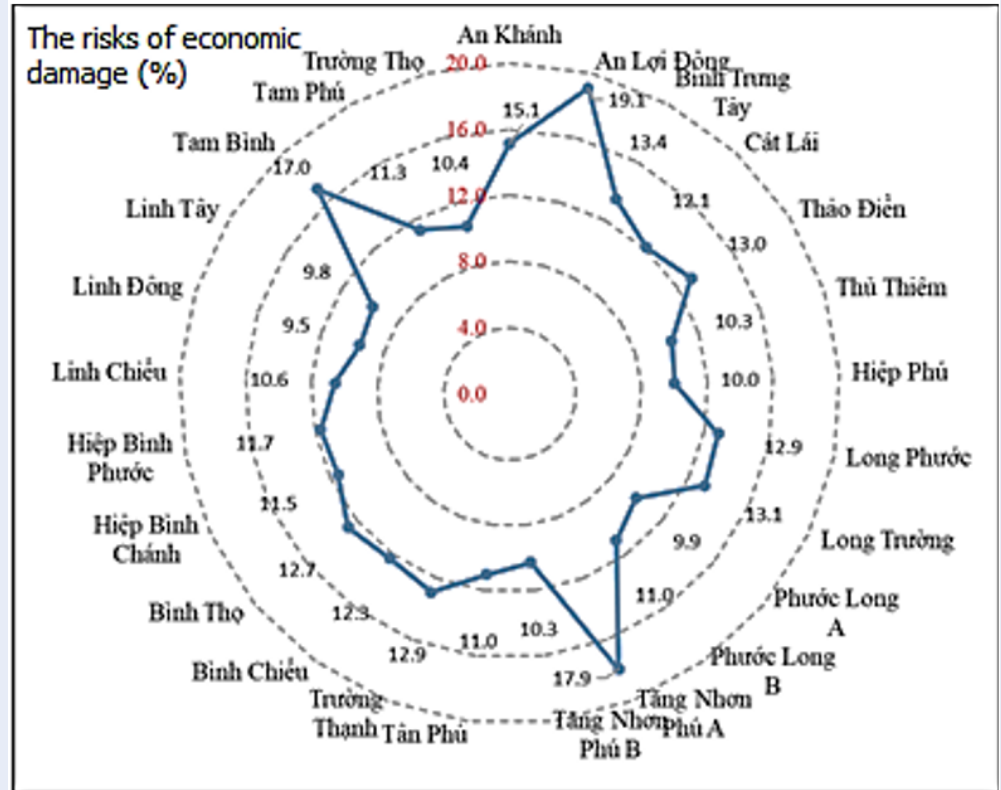


Figure 6: Level of economic damage risk due to flooding of wards of TDC on period of 2021-2022

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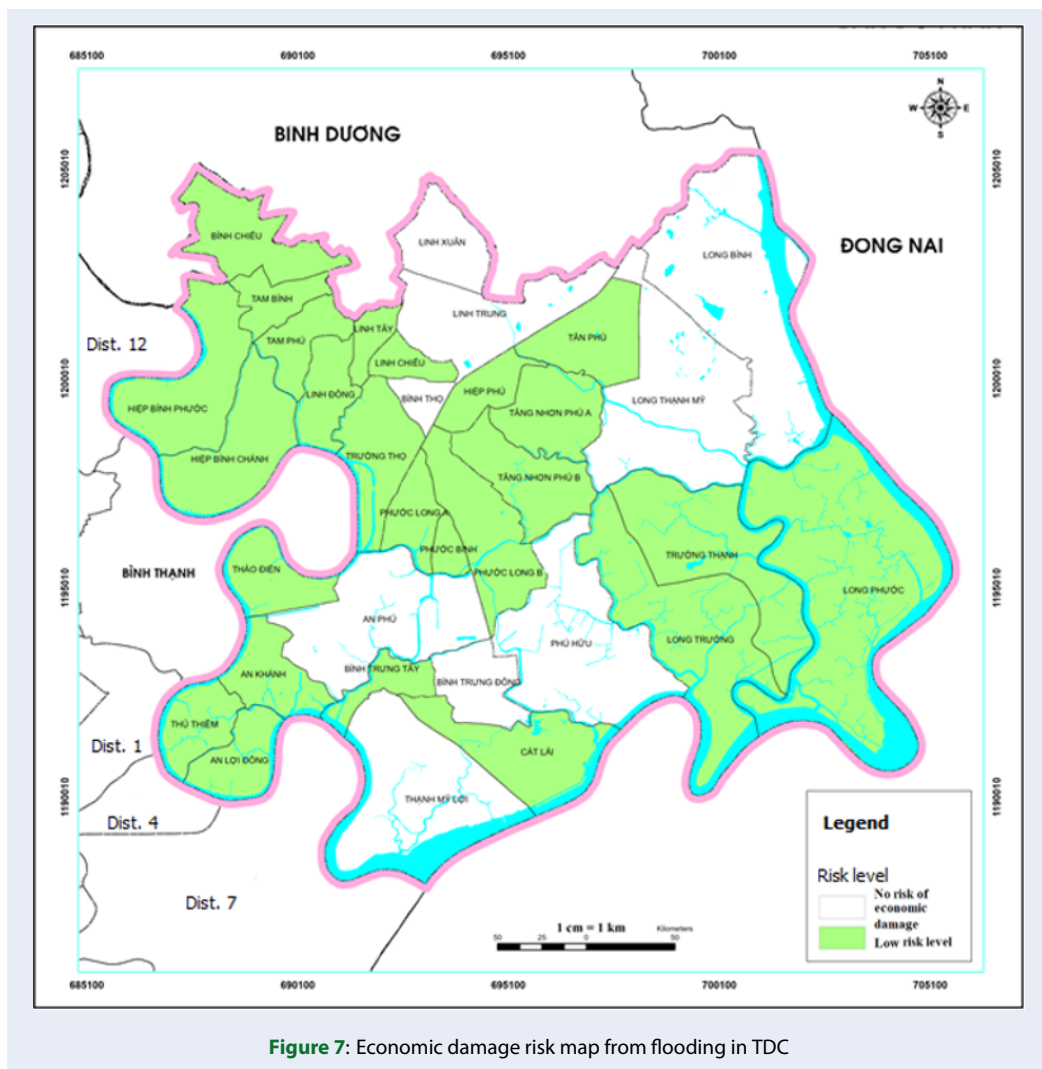


Figure 7: Economic damage risk map from flooding in TDC

Đánh giá, phân vùng rủi ro thiệt hại kinh tế do ngập úng tại TP Thủ Đức giai đoạn 2021-2022

Nguyễn Ngọc Diệp¹, Nguyễn Trần An², Bùi Việt Hưng^{2,*}



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TÓM TẮT

Thành phố Thủ Đức trực thuộc TP.HCM được thành lập năm 2020 trên cơ sở sáp nhập 3 quận (Quận Thủ Đức, Quận 2 và Quận 9). Từ khi thành lập đến nay, TP. Thủ Đức đã phải đối mặt với tình trạng ngập lụt đô thị trên diện rộng do cả mưa và triều cường. Tác động của ngập lụt đô thị gây ra nhiều ảnh hưởng tiêu cực đến người dân sinh sống và buôn bán tại TP. Thủ Đức. Kế thừa công trình nghiên cứu thiệt hại kinh tế do ngập lụt tại TP.HCM giai đoạn 2016-2019, dự án kế thừa các biểu mẫu điều tra để tiến hành khảo sát và công thức tính lũ, thiệt hại để đánh giá thiệt hại kinh tế của người dân tại đây. Các cuộc điều tra ngập lụt và thiệt hại kinh tế do ngập lụt được triển khai trên tất cả các phường của TP. Thủ Đức giai đoạn 2021-2022. Kết quả nghiên cứu cho thấy, sự phân bố ngập lụt, mức độ thiệt hại kinh tế cũng như mức độ rủi ro thiệt hại do ngập lụt tập trung ở các khu vực đông dân cư và ven sông. Cũng cho thấy mức độ thiệt hại kinh tế trong giai đoạn 2021-2022 giảm nhiều so với giai đoạn 2016-2019 khoảng 25-30% và ở mức thấp. Lý giải hợp lý chính về việc giảm mức độ rủi ro trong giai đoạn 2021-2022 là do kinh tế của Thành phố suy giảm do dịch bệnh nghiêm trọng Covid 19. Thu nhập của người dân giảm. Công việc của họ bị chậm trễ và việc đi lại bị hạn chế. Do đó, thiệt hại kinh tế gián tiếp cũng thấp hơn đáng kể so với trước đây. Thông qua việc lập biểu mẫu khảo sát lũ lụt và thiệt hại, nghiên cứu hy vọng sẽ cập nhật thêm thông tin và đóng góp một "cách" đánh giá thiệt hại kinh tế do lũ lụt gây ra trực tiếp cho các cơ quan quản lý đô thị của Thành phố Thủ Đức.

Từ khoá: Ngập đô thị, rủi ro thiệt hại, thiệt hại hữu hình, thiệt hại vô hình, TP Thủ Đức

¹Trường Đại học Lao Động và Xã Hội (Cơ sở 2), Việt Nam

²Trường Đại học Khoa học Tự nhiên, ĐHQG-HCM, Việt Nam

Liên hệ

Bùi Việt Hưng, Trường Đại học Khoa học Tự nhiên, ĐHQG-HCM, Việt Nam

Email: bvhung@hcmus.edu.vn

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